


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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. 1.53(b))	Attorney Docket No.	010023-000800US
	First Inventor	John H. Crowe, et al.
	Title	CELLS AND METHOD FOR PRESERVING CELLS
	Express Mail Label No.	Eu121998655US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>62</u>] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>21</u>]</p> <p>5. Oath or Declaration [Total Pages <u>5</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>ACCOMPANYING APPLICATIONS PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Non publication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <u>Express Mail Certification</u></p>

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

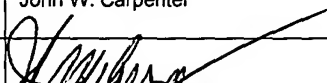
of prior application No: ____ / ____

Prior application information: Examiner: ____

Group / Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<u>37490</u>		or <input type="checkbox"/> Correspondence address below	
Name	John W. Carpenter				
Address	Carpenter & Kulas, LLP 1900 Embarcadero Road, Suite 109				
City	Palo Alto	State	CA	Zip Code	94303
Country	USA	Telephone	650-842-0303	Fax	650-842-0304

Name (Print/Type)	John W. Carpenter	Registration No. (Attorney/Agent)	26,447
Signature		Date	August 6, 2003


Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03940 U.S. PTO
10/635795
08/06/03

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<h1>FEE TRANSMITTAL</h1> <h2>for FY 2002</h2> <p><i>Patent fees are subject to annual revision.</i></p>		Complete if Known	
		Application Number	Unknown
		Filing Date	August 6, 2003
		First Named Inventor	John H. Crowe, et al.
		Examiner Name	Unknown
		Group / Art Unit	Unknown
TOTAL AMOUNT OF PAYMENT (\$) 856.00		Attorney Docket No.	010023-000800US

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)												
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES												
Deposit Account Number <input type="text"/>		Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid							
Deposit Account Name <input type="text"/>		105	130	205	65	Surcharge - late filing fee or oath								
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.								
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		139	130	139	130	Non-English specification								
2. <input checked="" type="checkbox"/> Payment Enclosed:		147	2,520	147	2,520	For filing a request for reexamination								
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		112	920*	112	920*	Requesting publication of SIR prior to Examiner action								
FEE CALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action								
1. BASIC FILING FEE		115	110	215	55	Extension for reply within first month								
Large Entity Fee Code	Fee (\$)	116	400	216	200	Extension for reply within second month								
Small Entity Fee Code	Fee (\$)	117	920	217	460	Extension for reply within third month								
Fee Description	Fee Paid	118	1,440	218	720	Extension for reply within fourth month								
101	740	201	370	Utility filing fee	370.00	128	1,960	228	980	Extension for reply within fifth month				
106	330	206	165	Design filing fee		119	320	219	160	Notice of Appeal				
107	510	207	255	Plant filing fee		120	320	220	160	Filing a brief in support of an appeal				
108	740	208	370	Reissue filing fee		121	280	221	140	Request for oral hearing				
114	160	214	80	Provisional filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding				
SUBTOTAL (1)				(\$) 370.00		140	110	240	55	Petition to revive - unavoidable				
2. EXTRA CLAIM FEES		141	1,280	241	640	Petition to revive - unintentional								
Total Claims	33	-20	=	13	X	9	=	117	142	1,280	242	640	Utility issue fee (or reissue)	
Independent Claims	6	-3	=	3	X	42	=	126	143	460	243	230	Design issue fee	
Multiple Dependent					X		=	0	144	620	244	310	Plant issue fee	
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid									
103	18	203	9	Claims in excess of 20		122	130	122	130	Petitions to the Commissioner				
102	84	202	42	Independent claims in excess of 3		123	50	123	50	Petitions related to provisional applications				
104	280	204	140	Multiple dependent claim, if not paid		126	180	126	180	Submission of Information Disclosure Stmt				
109	84	209	42	** Reissue independent claims over original patent		581	40	581	40	Recording each patent assignment per property (times number of properties)				
110	18	210	9	** Reissue claims in excess of 20 and over original patent		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))				
SUBTOTAL (2)				(\$) 243.00		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				
**or number previously paid, if greater; For Reissues, see above						179	740	279	370	Request for Continued Examination (RCE)				
						169	900	169	900	Request for expedited examination of a design application				
						Other fee (specify) _____								
						*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)		(\$)				

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	John W. Carpenter	Registration No. Attorney/Agent	26,447	Telephone	650-842-0303
Signature		Date	August 6, 2003		

In Re Application of: John H. Crowe, et al. Application No.: Unknown Filing Date: August 6, 2003 Title: CELLS AND METHOD FOR PRESERVING CELLS	Docket No.: 010023-000800US Re: Filing Patent Application
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Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

Utility Transmittal Letter;
 Fee Transmittal Sheet;
 Unsigned Declaration
 Specification, Claims, Abstract and Drawings

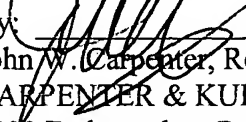
I hereby certify that this paper and the enclosures listed above are being deposited with the U.S. Postal Service "Express Mail to Addressee" Express Mail No.: EU121998655US on August 6, 2003, and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 6, 2003

Respectfully submitted,

EU 121998655 US

The Regents of the University of California

By: 
 John W. Carpenter, Reg. No. 26,447
 CARPENTER & KULAS, LLP
 1900 Embarcadero Road
 Suite 109
 Palo Alto, CA 94303
 USA